A Study on the Perception of Researchers about the Application of Agile Software Development Methods in Research

Nelson Marcelo Romero Aquino¹
Adolfo Gustavo Serra Seca Neto² and Heitor Silvério Lopes³

Federal University of Technology - Paraná Av. Sete de Setembro, 3165 - Rebouças CEP 80230-901 ¹ nmarceloromero@gmail.com ² adolfo@utfpr.edu.br ³ hslopes@utfpr.edu.br

Abstract. Papers on Agile Software Development methods are often focused on their applicability in commercial projects or organizations. There are no current studies that we know about addressing the application of these methods in research projects. The objective of this work is to describe the perception of researchers on the application of agile software development practices and principles for research projects. A study was conducted by constructing and applying a questionnaire to Brazilian researchers of different affiliations, formation and research areas in order to obtain information about their knowledge and openness to follow agile software development principles and practices.

Keywords: Agile Software Development Methods, Software for Research Projects

1 Introduction

Since the arrival of Agile Software Development (ASD) approaches, the research community has been pursued to analyze their applicability in commercial environments, projects or organizations. Some works regarding this field of study are based on comparing traditional development methods with ASD [1], others seek to study the challenges derived from the application of agile processes in traditional organizations [2] or the suitability of using ASD methods to particular environments [3, 4]. To the best of our knowledge, no research has been published to evaluate the application of ASD methods and practices in research projects within universities or the openness of the researchers to apply them to projects in which it is necessary to develop some kind of software.

The objective of this work is to describe researchers knowledge regarding ASD and their openness to follow agile software development principles and practices in research projects. For this purpose, data was collected from Brazilian researchers from different backgrounds by applying a questionnaire divided in

several parts, each one regarding a concrete aspect such as the agreement with the agile principles or the knowledge about agile methods.

This work is organized as follows: Section 2 presents related works. Section 3 describes details about the construction of the questionnaire and its application. Section 4 presents the results obtained and explores their implications. Section 5 contains the conclusion and final discussions derived from this work.

2 Related Work

Although there are no works regarding the application of ASD in research projects in particular, several papers address their application in commercial environments. In [5], the perception of the impact of agile methods when deployed in a very large software development environment was evaluated, mainly from the viewpoint of agile transformation. The work applied a questionnaire on a population consisting of more than 1000 respondents working at Nokia from seven different countries in Europe, North America, and Asia. Among the respondents, 90% represented the Research and Development (R&D) area. The work concludes that ASD received very positive feedback. In the work presented by [6], a survey regarding the adoption of ASD from Finnish software practitioners was conducted, gathering answers from 408 persons representing 200 different organizations. Results show that most respondents were using ASD methods and that they are often adopted in order to increase the productivity and quality of the products and services. The study also concludes that the most common reasons preventing the adoption of ASD methods are lack of knowledge and a too traditionalist culture within an organization. The work by [7] addresses the knowledge regarding ASD methods by people working at commercial environments, concretely in the Brazilian market. A qualitative questionnaire was prepared and applied to 24 Information Technology professionals distributed across 5 states of Brazil. Results of the work show that although the participants are familiar with agile principles, they adopt few agile practices.

3 Methodology

A questionnaire containing 9 questions was devised in order to obtain information about the knowledge and the application of agile software development methods in research projects, which we consider as any project conducted with research purposes involving software development. The size of the software that is developed by the researchers was not considered relevant for this study, since the participants develop from small applications to big systems depending on the needs of their projects. The number of questions was selected aiming to keep simple the structure of the survey so that it could remain brief and user-friendly for the participants. Details about the building process of the survey and its application are discussed in this Section.

3.1 Building the Questionnaire

The questions of the survey were built by taking into account different aspects: knowledge about agile methods, application of agile methods, agreement with the agile principles and technical information about the researcher. The topics of each question of the questionnaire are explained next.

- Question 1: refers to whether the participant has insight into agile methods.
- Question 2: concerns the knowledge of the researcher about specific agile methods.
- Question 3: is related to which agile methods the researcher has ever applied for developing software in research projects.
- Question 4: inquires about which agile practices the researcher has ever applied in their research projects. This question is presented without mentioning the formal names of the practices since the researcher may have applied them (even partially) without knowing that they are part of some agile method.
- Question 5: refers to the agreement of the subject with each of the Agile Principles defined by the Agile Alliance [8]. Some of the Agile Principles are related to the relationship between the developers and the clients. Thus, we did not consider them for the questionnaire since they are not applicable to research projects.
- Question 6: this question has the same objective as Question 5 but instead of the Agile Principles from the Agile Alliance the question focuses on the Lean Software Development (LSD) principles Poppendieck [9].
- Question 7: inquires about to the openness of the subject to update his knowledge regarding software development.
- Questions 8 and 9: gather personal information as affiliation and line of research.

3.2 Applying the Questionnaire

The survey was made available on-line in Portuguese. The link of the question-naire was sent through social media and e-mail. It was applied to 20 anonymous subjects chosen randomly from 9 laboratories from 7 Brazilian cities and one Australian city. The participants were MSc and Phd students, research professors working at universities, undergraduate students working on their final graduation projects and undergraduate students working as interns at laboratories. The education and experience of the participants, was very diverse, which was intentionally pursued in order to gather data from a very heterogeneous population. The individuals are not related to the agile community.

4 Results

This Section presents an analysis of the results obtained after the application of the questionnaire briefly described in Section 3.

A summary of the answers from the first question of the survey is shown in Figure 1. The question refers to the basic knowledge of the participants about agile methods. Results show that 60% of the participants have deep knowledge about agile methods whilst the other 40% at least heard about them, although their knowledge is not too broad. There were no researchers stating that they have never heard about them, a sign of their spreading in the late years.

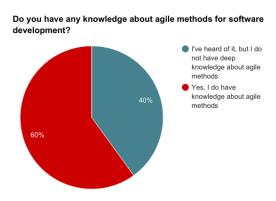


Fig. 1. Percentages of researchers that have knowledge about agile software development methods.

Results from the second question of the questionnaire, presented in Figure 2, show that the most known method is Scrum, followed by XP. The first is known by 90% of the participants, whilst XP is known by 80%. This is similar to the results presented in a recent survey related to the state of the agile development [10], which states that Scrum is currently the most used method. The study also refers to a hybrid between XP and other methods as the second most used approach, which also correspond to the result obtained in this work. Kanban is in the third place of the list, since 60% of the participants know details or at least heard about the method. There is a significant difference between the first three methods, which we consider as the most known, and the remaining: LSD (15%), DSDM (5%) and Crystal (0%). One participant selected the option Other, adding the Planning Poker [11] practice as one of the methods he has knowledge about, although it is a practice that commonly used within the scope of XP.

Results from the Question 3 are presented at Figure 3. More than half of the participants (55%) stated that they have never applied agile methods to develop software for their research. Notwithstanding, they intend to apply them in future projects, even though they may not have much knowledge about them.

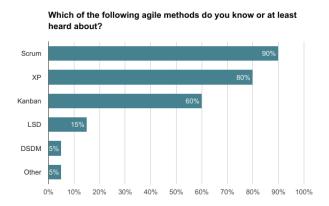


Fig. 2. Number of participants with knowledge about each agile method.

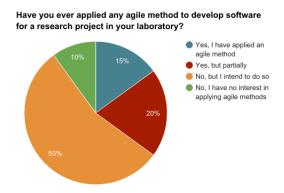


Fig. 3. Percentages of researchers that applied agile methods on their research projects.

For Question 4, although we present the answers in the Figure 4 with the formal names of the practices (e.g., Pair Programming or TDD), they were displayed in the questionnaire by using descriptions of them. Results for this question show that daily meetings to discuss issues about the software in development is a common task for the researchers. They may not be formal Daily Scrum Meetings, but the core activity (daily meetings to discuss aspects of the project) remains. Pair programming was the second most applied practice. It should also be treated as the practice discussed before: we consider that at least the core concept of the practice is applied. Incremental development (with Sprints or Iterations) and Continuous Software Design were the following prac-

tices, although with almost the same percentage as Pair Programming. Test Driven Development was the less applied practice. The use of Class Responsibility Collaborator (CRC) Cards [12] for software design was also an option for this question. However, none of the participants applied it.

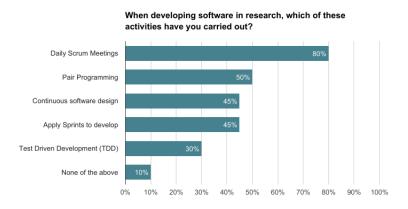


Fig. 4. Number of researchers that applied each agile practice in their research projects.

Figure 5 presents results for the Question 5, which regards to the Agile Principles defined by the Agile Alliance [8]. At least more than 50% of the participants agree with each principle. The principle with less researchers support was the one stating that technical excellence is mandatory (55%) whilst the most supported principles are those related to the simplicity, continuous integration and motivation for develop, all three are supported by 70% of the participants. This shows that researchers tend to be pragmatic and that their projects requirements are usually dynamic.

Results related to the agreement with the LSD principles are presented in Figure 6. As the Agile Alliance principles, there is consensus of the participants regarding the LSD principles (8 of the 9 principles have the support of at least 50% of the participants). However, the correct integration of the software modules does not seem to be so relevant for the researchers, since only 40% of the participants agree with it. Another important issue to point out is that 5% of the participants did not agree with any of the LSD principles.

As for the interest for updating their knowledge about software development, which results are shown in Figure 7, most of the participants (55%) confirmed that they try to update their knowledge only when it is necessary for a project. A high percentage (40%) of participants also stated that they always try to keep updated whilst only 5% considers that it is not necessary. This shows that most researchers recognize that this is a crucial task for doing research.

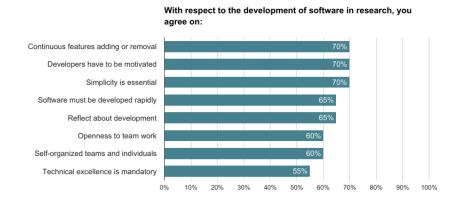


Fig. 5. Number of participants that agree with each agile principle of the Agile Alliance.

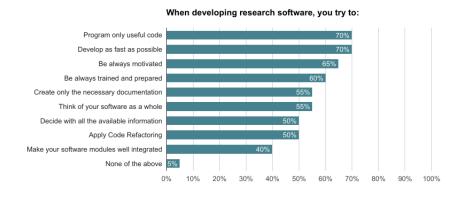


Fig. 6. Number of participants that agree with each of the LSD principles.

Although all researchers develop software to a greater or lesser extent for their research projects or experiments, they work in different areas. Figure 8 presents their lines of research. In the survey, this question allowed the researcher to specify his line of research, which is why the figure presents a high number of research areas. The most common line of research is Computational Intelligence: 25% of the researchers work in that field.



Fig. 7. Openness to update the knowledge regarding software development.

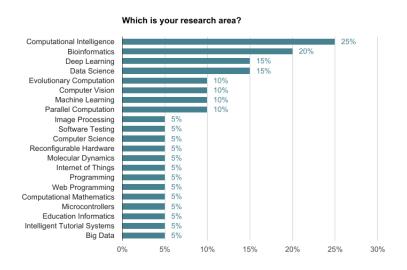


Fig. 8. Lines of research of the participants. The inferior axis presents the number of researchers working at each field.

4.1 Threats to validity

The number of participants is a threat to validity of this work. We also consider that the backgrounds and research areas of the participants may bias the results

of this work, since most were computer scientists. Future works may aim at collecting data from a bigger and more heterogeneous group of participants, they can also include non-Brazilian researchers, in order to obtain more general results.

5 Conclusion

This work aimed at studying the perception of researchers about the application of agile software development methods for research projects. Since, as far as we are aware of, there are no research has been published regarding the application of agile principles and practices in the research field, this study addressed this issue by constructing and applying a questionnaire to 20 researchers of diverse backgrounds that need to develop software for their projects.

Results show that researchers are open to apply Agile Software Development methods for their projects and that they already apply at least the core concepts of some agile practices. They also agree with the agile principles and exhibit openness to updating their knowledge regarding software development. The leading agile method is Scrum, followed by eXtreme Programming. The perception of researchers on applying agile software development methods on their projects is positive. There is a consensus regarding the benefits of agile development, since the participants demonstrated openness to apply agile practices and principles.

Taking these results into account, we can conclude that, in general, researchers consider Agile Methods as a viable option to develop software for their projects, since the software they develop usually have dynamic requirements and most of them already apply agile practices even without having formal knowledge about them. This positive response of the researchers may allow to carry more studies aiming at evaluating the application of ASD methods in research projects and their impact on the development process when compared to traditional methods.

For future works, the number of participants can be augmented along with the questions contained in the questionnaire. Another survey structure can also be applied by building one questionnaire for each agile method in order to obtain more specific results for each. The heterogeneity of the participants is another objective that can be pursued in future works, since the results presented in this document correspond to those obtained Brazilian researchers only. Thus, the survey can be also applied to participants from another countries.

References

[1] Ashley Aitken and Vishnu Ilango. A comparative analysis of traditional software engineering and agile software development. In *Proceedings of the 2013 46th Hawaii International Conference on System Sciences*, HICSS '13, pages 4751–4760, Washington, DC, USA, 2013. IEEE Computer Society. ISBN 978-0-7695-4892-0. doi: 10.1109/HICSS.2013.31.

- [2] B. Boehm and R. Turner. Management challenges to implementing agile processes in traditional development organizations. *IEEE Software*, 22(5): 30–39, Sept 2005. ISSN 0740-7459. doi: 10.1109/MS.2005.129.
- [3] E.C. Conforto, F. Salum, D.C. Amaral, S.L. da Silva, L.F.M. de Almeida, and Project Management Institute. Can Agile Project Management be Adopted by Industries Other Than Software Development? From Academia: Summaries of new research for the reflective practitioner. 2014.
- [4] Dan Turk, Robert France, and Bernhard Rumpe. Assumptions underlying agile software development processes. arXiv preprint arXiv:1409.6610, 2014.
- [5] Maarit Laanti, Outi Salo, and Pekka Abrahamsson. Agile methods rapidly replacing traditional methods at nokia: A survey of opinions on agile transformation. *Information and Software Technology*, 53(3):276–290, 2011.
- [6] Pilar Rodríguez, Jouni Markkula, Markku Oivo, and Kimmo Turula. Survey on agile and lean usage in finnish software industry. In Proceedings of the ACM-IEEE International Symposium on Empirical Software Engineering and Measurement, ESEM '12, pages 139–148, New York, NY, USA, 2012. ACM. ISBN 978-1-4503-1056-7. doi: 10.1145/2372251.2372275. URL http://doi.acm.org/10.1145/2372251.2372275.
- [7] E Diel, Mariana Bergmann, Sabrina Marczak, and Edimara Luciano. On the understanding of agile methods and their practice in brazil. In Workshop Brasileiro de Métodos Ageis, Florianópolis, Brazil, 2014.
- [8] K. Beck, M. Beedle, A. van Bennekum, A. Cockburn, W. Cunningham, M. Fowler, J. Grenning, J. Highsmith, A. Hunt, R. Jeffries, Jon Kern, Brian Marick, Robert C. Martin, Steve Mallor, Ken Shwaber, and Jeff Sutherland. The agile manifesto. Technical report, The Agile Alliance, 2001.
- [9] Mary Poppendieck. Lean software development. In Companion to the Proceedings of the 29th International Conference on Software Engineering, ICSE COMPANION '07, pages 165–166, Washington, DC, USA, 2007. IEEE Computer Society. ISBN 0-7695-2892-9. doi: 10.1109/ICSECOM-PANION.2007.46.
- [10] VersionOne. State of Agile Development, 2010. URL http://stateofagile.versionone.com/.
- [11] Mike Cohn. Agile Estimating and Planning. Prentice Hall PTR, Upper Saddle River, NJ, USA, 2005. ISBN 0131479415.
- [12] K. Beck and W. Cunningham. A laboratory for teaching object oriented thinking. SIGPLAN Not., 24(10):1-6, September 1989. ISSN 0362-1340. doi: 10.1145/74878.74879. URL http://doi.acm.org/10.1145/74878.74879.